## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
Klaus Hofmann	) Group Art Unit: 3657
	)
Serial No.: 10/598,528	)
	) Examiner: Mariano Ong S
Filed: May 21, 2007	)
	)
FOR: SAFETY DEVICE	Confirmation No.: 6952
Mail Stop Amendment	
Commissioner for Patents	
P.O. Box 1450	
F.O. DOX 1430	

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Sir:

Alexandria, Virginia 22313-1450

In accordance with 37 C.F.R. §1.56, the reference listed on the attached forms PTO/SB/08a and PTO/SB/08b are being brought to the attention of the Examiner for consideration in connection with the above-identified patent application. A copy of the non-patent literature document is attached herewith.

The Applicant believes the U.S. patent listed in PTO/SB/08a is equivalent to the Japanese reference listed in the Japanese Patent Office Action listed in PTO/SB/08b.

This information is being submitted subsequent to the Notice of Allowance in the application. Accordingly,

- 1. X The undersigned hereby certifies:
  - X that each item of information contained in this statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
  - that to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this statement was known to any individual

PATENT 1299,1000

designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this statement.

2. X A fee for \$180.00 is due under 37 C.F.R. § 1.17(p).

It is respectfully requested that the Examiner indicate consideration of the cited references by initialing the attached forms PTO/SB/08a and PTO/SB/08b and returning a copy of the initialed forms.

Respectfully submitted,

The Culbertson Group, P.C.

Date: 22 Nov 2010

Russell D. Culbertson, Reg. No. 32,124 3811 Bee Cave Road, Suite 108

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ATTORNEY FOR APPLICANT

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Substitute for form 1449/PTO

Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known		
Application Number	10/598,528	
Filing Date	May 21, 2007	
First Named Inventor	Klaus Hofmann	
Art Unit	3657	
Examiner Name	Mariano Ong Sy	
Attorney Docket Number	1299.1000	

	U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f innem)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
/MS/		<sup>US-</sup> 3,995,534	12-07-1976	Rastetter, Adolf		
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	FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No.1	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear			
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			T <sup>6</sup>		
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Examiner		Date	
Signature			
Signature	/Mariano Sv/	Considered	11/24/2010

"EXAMINES: Initial if inference considered, withther or not retains is in conformance with MPEP 600 Date like through billation if not in aconformance and not considered. Include copy of this form with next communication to application. "Applicants' unique calciain designation number (collosion) seek indica Codes of USFTO Patent Documents at wax upsto.gov or MFEP 901.04. "Select Office that issued the document, by the shortest code (WIPO Standard ST.1.)." For Jaganese patient documents, the including of the year of the region of the Empiricant was precised the senial number of the patient document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. "Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.07 and 1.98. The information is required to child not retain a benefit by the public which is to fit optically under the USFTO is proceed an explication. Conditionability is gowered by 38 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to be time to complete including gathering, preparing, and submitting the completed application form to the USFTO. There will very depending upon the Conditionability is complete this form and/or suggestions for reducing this burder, should be sent to the Child information. Office, V.C. Palent and Trademark Office, P.O. Sox 1450, Alexandris, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND.

PTO/SB/08b (07-09)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

1299.1000

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO Application Number 10/598,528 INFORMATION DISCLOSURE Filing Date May 21, 2007 STATEMENT BY APPLICANT First Named Inventor Klaus Hofmann Art Unit 3657 (Use as many sheets as necessary) Examiner Name Mariano Ong Sy Sheet Attorney Docket Number

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of 2

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/MS/		English translation of Japanese Office Action dated August 24, 2010 issued in Japanese patent application No. 2007-501112 (10 pages)	
***************************************			

Examiner	/Mariano Sv/	Date	
Signature	/ivialialio Sy/	Considered	11/24/2010

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Awaranday, N.2. 2331-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS DRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.